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Brain injury as a risk factor for Alzheimer's disease: Therapeutic implications

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Abstract

The focus of this chapter is brain injury as a risk factor for Alzheimer's disease (AD). The cause of late-onset AD is currently unknown, but most likely has something to do with the buildup of amyloid-beta ($A\beta$) in the brain. Evidence suggests that brain injury may increase the risk for AD. People with traumatic brain injury, seizures or stroke are more likely to develop $A\beta$ plaques in their brains. Even children with traumatic brain injury may quickly develop AD-like plaques. The most common cause of brain injury in the elderly is stroke, and most AD brains exhibit stroke pathology at autopsy. Animal experiments suggest that

brain damage elevates A β levels and accelerates plaque deposition. It is possible that brain damage initiates or accelerates AD pathology by causing elevated brain A β levels. Potential mechanisms, including upregulated amyloid precursor protein and ApoE, are reviewed. Thus, brain damage may trigger a cascade of events leading to AD. Because AD pathology includes buildup of vascular A β , and because this process increases the risk of future stroke, the process may self-propagate. If early efforts are made to prevent excessive A β deposition after brain damage such as stroke, it may be possible to delay the onset of AD. Because AD is a disease of the elderly, delaying the onset by only a few years would significantly decrease its prevalence. Therapeutic strategies could include removal of A β via antibodies, inhibiting A β formation, and nutritional prevention of brain damage with foods rich in polyphenols and/or essential fatty acids.

Introduction

Alzheimer's disease (AD) is the most common neurodegenerative disorder of aging and cause of dementia, affecting approximately 1 in 10 individuals over the age of 65, and 1 in 2 over 85. Memory loss for recent, but not distant, events is the first and most prominent symptom, followed by a progressive decline in cognitive (e.g., language, executive) and motor abilities. Neuropathological hallmarks of the disease include the accumulation of protein deposits ("plaques") between and surrounding the brain's neurons and neurofibrillary tangles (NFTs) inside the neurons. Although the assessment of behavioral symptoms (especially memory loss) can provide a reasonably accurate diagnosis of AD, other forms of dementia can cause similar deficits. Therefore, a diagnosis of AD can only be confirmed after post-mortem identification of these hallmark neuropathological characteristics. Additionally, vascular plaque deposition, mitochondrial dysfunction, inflammation, astrogliosis, microglial activation, and neuronal cell damage and death are observed in the brains of AD patients.

Similar to other age-related neurodegenerative diseases (like Parkinson's disease), the behavioral symptoms of AD are generally not observed until significant levels of neuropathology and neurodegeneration have accumulated. Because this process may take as long as 20 years¹⁻⁵, post-mortem examination of brains from elderly, but cognitively normal, people often reveals both the plaques and tangles indicative of AD. The concept of cognitive reserve, which suggests that certain people may be more (or less) susceptible to the behavioral impact of neurotoxicity and/or neurodegeneration than others⁶⁻⁸, may explain this phenomenon.

The plaques that build up in the brain are composed predominantly of the amyloid- β (A β) peptide⁹, but also contain other proteins (e.g., apolipoproteins) and non-proteins (e.g., hemes^{10,11}, metals). Deposition generally starts in the

medial temporal lobes, primarily within and around the entorhinal cortex and hippocampal formation, an area of the brain important for learning and memory, and then gradually spreads throughout the cortical and subcortical areas¹². Neurons engulfed by the plaques have abnormal and twisted neuronal processes (axons and dendrites) associated with intracellular NFTs. The NFTs are caused by intracellular aggregates of tau, a microtubule-associated protein that normally helps to stabilize the cytoskeleton of the long neuronal processes. These aggregates (paired helical filaments) of tau eventually damage the cytoskeletal microtubules, leading to the disruption of intracellular transport mechanisms, formation of NFTs, and dystrophic neurites. The plaques are also associated with inflammation, microglial activation, synaptic loss, neuronal atrophy and apoptotic cell death, suggesting that the accumulation of A β is somehow neurotoxic. Levels of neurotransmitters in the brain, including acetylcholine (ACh), decrease as the neurons that produce these neurotransmitters atrophy and die¹³.

A β toxicity

The nature of A β 's toxicity remains elusive, but probably involves several inter-related mechanisms. *In vivo* and *in vitro* studies imply that A β induces damage via oxidative stress. For example, intracellular A β can enter cellular mitochondria, inducing free radical formation and inflammation^{14,15}. Furthermore, extracellular A β -heme complexes that form within plaques can cause oxidative damage to muscarinic ACh receptors, which is prevented by treatment with antioxidant compounds^{10,11,16}. Additionally, A β deposits are associated with intracellular tau disruption and neuronal death in hippocampal cell cultures¹⁷, and may also induce hypersensitivity to excitotoxic neuronal damage¹⁸. Whatever the mechanism, locally elevated concentrations of A β seem to cause a loss of proper neuronal function as measured by long-term potentiation (LTP)¹⁹⁻²². The amount of synaptic loss within A β plaques currently provides a better biomarker of cognitive dysfunction than amount of plaque deposition *per se*²³⁻²⁶.

It is also unclear as to which form of A β is toxic. At high enough concentrations, soluble monomeric A β proteins start to polymerize. Dense-core aggregates of A β plaques with a β -sheet (amyloid) conformation, but not diffusely aggregated A β , are generally associated with dystrophic neuronal processes^{27,28}. Other studies suggest that toxicity results from particular intermediate species of A β aggregates (e.g., oligomeric) rather than deposited A β plaques^{24,29-31}.

APP and A β production

The A β peptide consists of 39-43 amino acids, and is normally enzymatically cleaved from the much larger amyloid precursor protein (APP).

The physiological roles of A β , if any, are unknown. The physiological roles of APP, which is a transmembrane protein with a large extracellular domain, may involve neuronal development and/or synaptic plasticity³². APP is axonally transported (and plays a role in axonal transport) and accumulates in presynaptic terminals and growth cones³³. It may function to inhibit synapse formation, as *in vitro* cultures of APP^{-/-} hippocampal neurons (which lack APP) have a higher synaptic density³³. An overabundance of synapses may partially explain the heightened sensitivity to kainic acid-induced excitotoxic seizures³⁴ and memory deficits observed in APP^{-/-} mice³⁵. Mice that lack APP and the APP-like proteins APLP1 and APLP2 (similar proteins that lack the internal A β sequence) die soon after birth with marked neuroanatomical and behavioral abnormalities³⁶. Thus, APP may share some redundant physiological functions with the APLPs.

Full length APP in the brain is metabolized into a number of fragments by the alpha- (α), beta- (β), and gamma- (γ) secretases, yielding a variety of amino- (N-) terminal, internal, and carboxy- (C-) terminal peptides. In general, APP cleavage tends to follow one of two pathways. A β is produced by the so-called amyloidogenic pathway, and prevented by the non-amyloidogenic pathway^{37,38}.

APP processing – The amyloidogenic pathway

APP cleavage by β -secretase (β -site APP cleaving enzyme/BACE1, also known as memapsin-2 and Asp2) near the membrane surface followed by γ -secretase cleavage within the cell membrane ultimately produces three peptide fragments. The initial cleavage of APP at the β -site yields two APP fragments. The N-terminal fragment is called soluble/secreted APP- β (sAPP β), and the C-terminal fragment is called β -C-terminal fragment (β -CTF, also known as C99). Cleavage of the membrane-bound β -CTF by γ -secretase yields two more fragments: A β and γ -CTF (also known as APP intracellular domain, or AICD).

Depending on the actual site of γ -secretase cleavage, different isoforms of A β (39-43 amino acids long) will be produced. The shorter isoforms (e.g., A β_{40}) tend to exist in a soluble monomeric state. The hydrophobic C-terminals of the longer A β isoforms (e.g., A β_{42}) tend to cling together, forming oligomeric aggregates that eventually become deposits of insoluble A β plaque as they take on a β -sheet amyloid confirmation. These dense-core amyloid plaques are associated with neuritic dystrophy, suggesting that the process of polymerization from soluble, monomeric A β to insoluble amyloid appears to have a toxic effect on neurons. There is some evidence that sAPP β and/or γ -CTF/AICD, the other APP peptide fragments produced by the amyloidogenic pathway, may have neuroprotective properties³⁹, and that γ -CTF can act as a

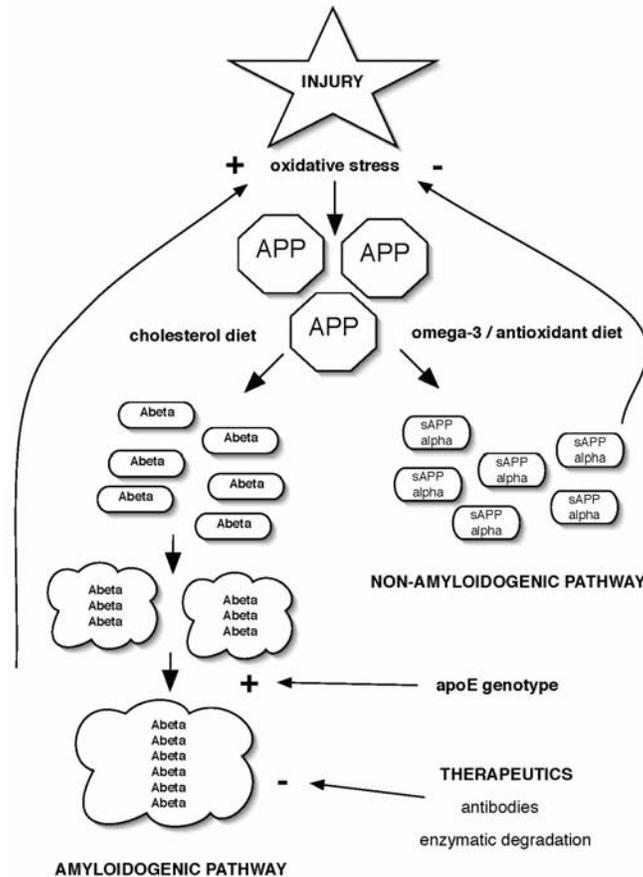
nuclear transcription factor^{40,41}. There is also mounting evidence that the majority of APP processing actually occurs inside the cell⁴² and that A β is released into the extracellular matrix via exocytosis during synaptic activity⁴³.

APP processing – Non-amyloidogenic pathway

A number of zinc metalloproteinases (shedase / disintegrin / adamalysin proteins like ADAM9, ADAM10, ADAM17/TACE, BACE2) have been shown to cleave APP at the α -site^{32,44-50}. Because the α -secretase cleavage site lies within the A β domain of APP, α -secretase cleavage at the membrane surface⁵¹ followed by γ -secretase cleavage within the membrane prevents production of A β and ultimately produces three peptide fragments. The first cleavage of APP by an α -secretase yields soluble/secreted APP- α (sAPP α) and α -CTF (also known as C83). Cleavage of the membrane-bound α -CTF by γ -secretase yields two more fragments: p3 (essentially a ~32 amino acid long subset of the A β protein) and γ -CTF/AICD.

Many studies have found that the α -secretase-cleaved APP ectodomain, sAPP α , has neuroprotective properties. For example, administration of exogenous sAPP α was neuroprotective against ischemia in rats⁵². This APP fragment is released from neurons in response to synaptic activity and may modulate neuronal excitability, synaptic plasticity, neurite growth, and cell survival. sAPP α has demonstrated effects on calcium homeostasis, potassium channels, N-methyl-D-aspartate (NMDA) glutamate receptors, and nuclear transcription factors^{33,53,54}. Its neuroprotective mechanisms may involve raising the excitotoxicity threshold by stabilizing calcium levels⁵⁵⁻⁵⁹. Other putative mechanisms include the induction of gene expression for a number of neuroprotective proteins, including transthyretin (TTR), via interactions with nuclear factor-kappa B (NF- κ B)⁵³. In support of this idea, a transgenic mouse model of AD that expresses high levels of human APP and has high brain levels of A β , sAPP α and TTR (the Tg2576/APP_{sw} mouse) develops age-related dense-core amyloid plaques, but not NFTs or cell loss. When given an antibody against TTR, effectively removing or inactivating TTR in the brain, the APP_{sw} mice develop increased A β accumulation in the brain, intracellular tau phosphorylation, and neuronal apoptosis/death in the hippocampus, suggesting that sAPP α may exert part of its neuroprotective effects by inducing the upregulation of other neurotrophic and neuroprotective proteins⁵³.

Note that whereas both the β -secretase/amyloidogenic and the α -secretase non-amyloidogenic APP cleavage pathways produce fragments with potentially neuroprotective properties, the α -secretase pathway also prevents the formation of the potentially neurotoxic A β peptide. These neuroprotective fragments may provide an important clue as to the physiological role of APP and its cleavage products.



Accumulation of Aβ as a causative factor in AD

The gradual accumulation of Aβ in the brain appears to be associated with various downstream events causing functional neuronal deficits, structural brain damage, cognitive and behavioral impairments, and eventually death. Pathophysiological conditions that result in the accumulation of Aβ in the brain generally increase the risk of developing AD neuropathology. For example, Down syndrome, also known as trisomy 21, results from one extra copy of the 21st chromosome, which contains the *APP* gene. The condition is associated with the gene-dose dependent production of ~50% more APP than normal, leading to elevated Aβ production and deposition, and dementia by around 50 years of age^{60,61}.

Furthermore, over 30 genetic mutations have been identified in various families around the world that lead to early onset familial AD. These mutations are generally autosomal dominant and account for less than 5% of all AD cases. The mutations are either in the genes for APP or the presenilins (PS1 and PS2), which are membrane-bound constituents of γ-secretase. These familial AD mutations in the *APP* and *PSEN* genes all result in abnormally high levels of APP production and/or amyloidogenic APP processing. This, in turn, leads to the

increased production and deposition of A β (especially A β_{42}) in the brain and earlier onset of behavioral symptoms than in so-called "sporadic" AD^{62,63}.

Animals that model aspects of AD

The identification of genetic mutations associated with early-onset familial AD has spawned the development of several lines of transgenic mice that also express these mutations. These transgenic mouse models of AD generally have relatively high brain levels of mutant human APP and develop age-related cognitive deficits coincident with the formation of insoluble A β aggregates and A β amyloid deposits⁶⁴⁻⁷¹. For example, one commonly used transgenic mouse model of AD is the Tg2576 (APP_{sw}) mouse. These transgenic mice express human APP from a Swedish family with autosomal dominant early-onset familial AD caused by a double mutation in the *APP*₆₉₅ gene (Lys⁶⁷⁰ \rightarrow Asn and Met⁶⁷¹ \rightarrow Leu)⁷². Family members with these *APP* genetic mutations experience high levels of A β production and extensive accumulation of amyloid plaques (composed mostly of amyloidogenic A β_{42} ⁷³) in the brain. The age of disease onset in the family members with these mutations averages ~50 years.

APP_{sw} transgenic mice express the mutant human APP at roughly 5x the brain levels of wildtype mouse APP⁷⁴. By approximately 6 months of age^{68,74}, these mice develop learning and memory deficits coincident with the formation of detergent-insoluble deposits of A β (especially A β_{42})^{75,76}. Amyloid plaques associated with dystrophic neurites start to form by 8 months of age⁶⁷⁻⁶⁹. Because soluble forms of A β in the brain are elevated by as early as 2 months in these mice, high brain levels of soluble A β are probably not solely responsible for the emergence of cognitive deficits at 6 months of age. In double-transgenic APP_{sw} mice that also produce human mutant PS1, both cognitive deficits and insoluble A β aggregates are detectable by as early as 2 months of age⁷⁶.

PDAPP transgenic mice express a different form of human mutant APP that is also associated with autosomal dominant familial AD resulting from the increased production of A β_{42} ⁷⁷. These transgenic mice also develop age-related learning deficits, accumulation of diffuse and neuritic plaques, glial activation, and abnormal phosphorylation of cytoskeletal microtubule-associated proteins beginning at around 6-9 months of age^{78,79}. Thus, cognitive deficits associated with the appearance of insoluble A β aggregates have been reported in lines of transgenic mice in which the aggregates emerge at different ages.

A β accumulation causes AD neuropathology

Further evidence for the support of A β accumulation as a potential causative factor in AD comes from the observation that systemic treatments that lower brain levels of A β can prevent and reverse both behavioral and

neuronal dysfunction, as well as ameliorate intracellular tau pathology⁸⁰, in transgenic mouse models of AD^{44,79,81-84}. For example, the systemic administration of monoclonal anti-A β antibodies to old PDAPP mice decreased A β deposition and improved both cognitive performance and neuronal function as measured by long-term potentiation (LTP)⁷⁹. Additionally, dietary consumption of pomegranate juice, which contains very high levels of antioxidants and β -secretase inhibiting polyphenols, decreased A β deposition and improved cognitive performance in APP_{sw} mice⁸². These results strongly suggest that A β accumulation and the eventual appearance of some form of A β aggregate is responsible for the age-related neuronal dysfunction that eventually disrupts cognitive performance in these transgenic mice.

Thus, the ultimate cause of late-onset (sporadic) AD is currently unknown, but most likely has something to do with the abnormal buildup A β in the brain. The reason for A β accumulation in AD appears to be related to high levels of APP in the brain and/or excessive amyloidogenic APP processing. The accumulation of A β seems to induce a series of events that lead to even more A β accumulation, resulting in a vicious circle of neurodegenerative decline, known collectively as the amyloid cascade hypothesis of AD⁸⁵⁻⁸⁷.

Risk factors

The most prevalent risk factor for the development of AD is aging. Other documented risk factors include genetics, diet, and brain injury.

Genetics as a risk factor

The autosomal dominant mutations in the genes that encode APP and the presenilins inevitably predispose individuals to develop early-onset familial AD by leading to either high levels of brain APP or excessive processing of APP via the amyloidogenic pathway. The major documented genetic risk factor for sporadic/late onset AD is carrying of a copy of the ϵ 4 allele of the gene that encodes apolipoprotein E (apoE). ApoE is a low-density lipoprotein (LDL) that seems to play an important role in AD pathogenesis, and can also potentially interact with a number of different receptors as well as structures in the extracellular matrix.

Three major alleles of the *APOE* gene exist: ϵ 2, ϵ 3, and ϵ 4. Carriers of the *APOE4* gene are more likely to develop AD, experiencing earlier and more pronounced A β deposition, hypercholesterolemia, and vascular dementia than individuals without the *APOE4* gene⁸⁸⁻⁹². The increased AD risk is gene dose-dependent in that carriers of two copies of the *APOE4* gene have an even higher risk of developing AD than carriers of only one copy of *APOE4*⁹²⁻⁹⁶. Carrying a copy of the *APOE2* gene confers a degree of protection from Alzheimer's disease. The role of apoE has been investigated using *APOE* knockout (*APOE*^{-/-})

mice, which express no apoE, and transgenic mice that express human apoE instead of mouse apoE. *APOE*^{-/-} mice appear to be relatively normal, with no obvious cognitive impairment. Transgenic mice that express human apoE3 also seem to be unimpaired, whereas transgenic mice that express human apoE4 have profound learning and memory deficits in the absence of any obvious neuropathology^{97,98}, suggesting that apoE may play separate, but interacting, roles in the neuropathology and cognitive deficits observed in AD.

Mechanisms of APOE genotype as a risk factor

Although the mechanism of increased AD risk by an *APOE4* genotype is unclear, transgenic mice with human mutant APP have been crossed to transgenic mice that express different human apoE isoforms, allowing for the *in vivo* exploration of interactions between A β and apoE. ApoE is found colocalized with A β in plaques⁹⁹⁻¹⁰⁴, and poorly lipidated apoE (produced in the absence of the lipid transporter ABCA1) is associated with the accelerated formation of insoluble A β and amyloid plaques in old PDAPP, *Abca*^{-/-} mice¹⁰⁴.

Postmortem examination of synaptic density in the plaques of AD brains revealed that an *APOE4* genotype is associated with the fewest synapse-associated proteins, whereas the plaques of *APOE2* carriers have the highest synaptic density²⁵. In both APP_{sw}, apoE^{-/-} and PDAPP, apoE^{-/-} mice (APP transgenic mice that also lack mouse apoE), A β deposition is significantly reduced, the vast majority of deposits are diffuse in nature (i.e., non-amyloid) and not associated with neuritic dystrophy¹⁰⁵⁻¹¹⁰, and cognitive deficits appear to be attenuated¹¹¹. These findings suggest that apoE is somehow involved in the polymerization of soluble A β into a β -sheet amyloid structure, and that the reduction of cognitive deficits in these mice results from lower brain levels of aggregated A β (e.g., insoluble aggregates or soluble A β oligomers). Thus, the absence of apoE prevents aggregation of A β into toxic forms, and this may be linked to an attenuation of cognitive deficits in APP transgenic mice that lack apoE. Consequently, this evidence implies that the presence of apoE (especially apoE4) induces or enhances the aggregation of A β into toxic forms.

Besides playing a role in lipid transport, apoE and/or its peptide fragments appear to modulate the inflammatory response to brain injury, possibly by down-regulating the CNS anti-inflammatory and glial activation responses^{112,113}. ApoE4, however, does not reduce inflammation efficiently as apoE3¹¹⁴, suggesting another mechanism by which an *APOE4* genotype can accentuate neuronal dysfunction. Furthermore, the introduction of iron into *in vitro* cultures of neuronal and vascular tissue produced oxidation of proteins and accumulation of A β and apoE in lysosomes and A β in the vasculature¹¹⁵⁻¹¹⁸. Tissue from an apoE4 lineage is significantly more affected than apoE3 tissue, suggesting that apoE4 may allow oxidative stress to go unchecked.

Diet as risk factor

Epidemiological and experimental evidence suggests that diet can alter the concentration of A β in the brain and consequently affect the risk for developing AD. For example, a high-cholesterol diet has been shown to elevate levels of both A β and apoE in the brains of rabbits (which express the human form of A β and naturally develop AD-like neuropathology with aging)¹¹⁹ and APP transgenic mice¹²⁰. Altering cholesterol and phospholipid levels in cell membranes can modulate the activity of membrane-bound enzymes, including the β - and γ -secretases that produce A β . These “amyloidogenic pathway” secretases seem to require cholesterol-rich “lipid rafts”, or detergent-insoluble membrane domains (DIMS) within the membrane¹²¹⁻¹²⁴. Conversely, α -secretase cleavage, which prevents the production of A β , requires a cholesterol-poor/phospholipid-rich, fluid membrane^{47,125}, thereby presenting one mechanism by which high cholesterol intake can lead to an increase in A β production and deposition. The LDLs (including apoE), which bind and transport cholesterol, are also highly sensitive to oxidative free radical damage, tending to aggregate, which impairs vascular perfusion and leads to hypoperfusion of the brain and increased risk of stroke. Similarly, high-carbohydrate diets can alter the metabolism of cellular membrane proteins (e.g., APP) similarly to the apoE4 protein¹²⁶, and conversely, diets high in omega-3 essential fatty acids and/or bioactive phytochemicals (e.g., polyphenols) have been shown to reduce AD-like neuropathology in transgenic mice^{82,127-131}.

Brain injury as a risk factor

Epidemiological and experimental evidence also suggests that a variety of types of brain injury may accelerate AD neuropathology and, consequently, the risk of developing AD. For example, traumatic brain injury (TBI) is associated with accelerated A β deposition and an increased risk of developing AD. Individuals who sustain moderate to severe head injury at some point in their life are more likely to develop AD and/or other forms of dementia¹³²⁻¹³⁴, and A β deposition is found in the brains of approximately 1/3 of all people, regardless of age, who die shortly after TBI¹³⁵⁻¹³⁸. Professional athletes who experience repeated blows to the head, including boxers, football players, and soccer players, also have an increased risk of developing dementia (sometimes termed dementia pugilistica) with age¹³⁹⁻¹⁴⁵. Additionally, TBI patients are reported to have elevated levels of the amyloidogenic A β_{42} in their cerebrospinal fluid for up to a week post-injury in comparison to both controls and AD patients¹⁴⁶⁻¹⁴⁹. These observations suggest that brain concentrations of A β spike transiently following traumatic brain injury, accelerating the aggregation process, eventually leading to worse neuropathology and earlier emergence of symptoms.

Other types of brain damage are also associated with AD-like neuropathology. A β plaque deposition in the cerebral arteries (cerebral amyloid angiopathy; CAA) can increase the risk of hemorrhagic or ischemic stroke¹⁵⁰⁻¹⁵⁵. Because of this, AD neuropathology has historically been seen as a risk factor for stroke. Indeed, the majority of autopsied brains with AD neuropathology also show evidence of stroke damage, leaving open the possibility that stroke damage can increase the risk for AD. Finally, A β deposition is also locally accelerated following seizure-induced neurodegeneration in human epileptic patients¹⁵⁶.

The effects of brain injury on AD neuropathology have also been studied using rats and APP transgenic mice¹⁵⁷. It was first reported in 1991 that a stab injury to the brain elevated local levels of APP in rats¹⁵⁸. Injury-induced local increases in A β concentration have been reported in a number of animal studies using both rodents and rabbits¹⁵⁹⁻¹⁶². Relatively mild, but repetitive, cortical impact injury exacerbated levels of brain lipid peroxidation, A β deposition, and cognitive impairments in APP_{sw} mice^{164,165}. Additionally, PDAPP transgenic mice lost 84% of their CA3 hippocampal neurons following experimental TBI, whereas wildtype control mice only lost 36%¹⁶³.

TBI induces brain inflammatory responses, oxidative stress, excitotoxic damage, apoptosis, astrogliosis, neuronal loss, and changes in brain vasculature. APP, microtubule-associated protein (MAP-2), and apoE accumulate in damaged white matter after TBI, indicating disrupted anterograde axonal transport and/or axotomy¹⁶⁶⁻¹⁶⁹. The post-injury accumulation of APP is consistent enough that APP immunohistochemistry is used as a marker for brain damage in experimental TBI models¹⁷⁰⁻¹⁷².

TBI also induces a transient increase in APP metabolism¹⁷³, which may prove to be neuroprotective if the APP is subsequently cleaved by α -secretase, leading to locally increased levels of the neuroprotective APP fragment sAPP α . When exogenous sAPP α was administered *in vivo* after TBI in rats, it improved motor function and reduced cellular apoptosis and axonal injury¹⁷⁴. Interestingly, "preemptive" TBI induces neuroprotection to retinal ganglion cells from subsequent optic nerve crush-induced cell death, suggesting that brain damage may cause a systemic neuroprotective response to brain injury¹⁷⁵. Oxidative stress following TBI may also play a role in elevated A β accumulation in the brain. Administration of an antioxidant (vitamin E) following TBI prevented the brain lipid peroxidation, elevated A β accumulation, and behavioral impairments observed in non-treated APP_{sw} mice¹⁶⁴.

Experimental stroke, including global cerebral ischemia and focal ischemia induced by middle cerebral artery occlusion, also induces the transient accumulation of APP and apoE in and around reactive astrocyte glial cells and damaged vasculature, axons, and neurons in the hippocampus, cortex,

cerebellum, basal ganglia and thalamus^{99-102,176-178}. As with TBI, APP accumulation is also used as an immunohistochemical marker of experimental stroke-induced axonal damage. Short-term accumulation peaks within about 1 week of the injury, and tends to dissipate within a couple of weeks¹⁷⁹. The accumulation appears to be due in part to APP upregulation at the mRNA level¹⁸⁰⁻¹⁸⁵ in addition to other factors¹⁸⁶. Similar to TBI, stroke also causes a high degree of oxidative stress, suggesting another mechanism by which APP and A β may be modulated. Transient hypoxia in cultured cortical neurons induces a number of biochemical processes that are common to AD¹⁸⁷, including mitochondrial dysfunction, neuronal membrane damage, apoptosis, and APP cleavage.

As noted, human epileptic seizures are also associated with A β accumulation in the epileptogenic tissue¹⁵⁶. During a seizure or other brain insult, the excitatory neurotransmitter glutamate accumulates in the extracellular space, causing over-stimulation of neurons and leading to apoptotic cell death. This process, termed excitotoxicity¹⁸⁸, is often studied experimentally using kainic acid. Kainic acid-induced excitotoxic damage causes increased expression of glial-produced APP, but a decrease in neuronal-produced APP in rats. These effects were prevented by MK-801 and pentobarbitone, drugs that prevent excitotoxic damage¹⁸⁹. The release of intracellular A β during injury-induced heightened synaptic activity⁴³ provides yet another mechanism by which a host of brain injuries may lead to the ultimate accumulation of A β , causing an increased risk of AD.

Risk factor interactions

Epidemiological and experimental evidence suggests that carrying at least one copy of the *APOE4* allele can interact synergistically with the effects of brain injury, increasing both the acute and chronic consequences of the injury in addition to increasing the risk of developing AD. Human studies have shown that both short- and long-term behavioral recovery from TBI can be influenced by *APOE* genotype. For example, *APOE4* carriers scored significantly worse than *APOE4-* individuals on neuropsychological tests 3 weeks after mild to moderate TBI¹⁹⁰, and *APOE4+* TBI patients experienced longer periods of unconsciousness and worse clinical outcome¹⁹¹. *APOE4* carriers were twice as likely as *APOE4-* individuals to be either dead, comatose, or severely disabled 6 months following TBI¹⁹². In addition to a generally worse clinical outcome, the long-term effects of TBI on memory¹⁹³ and motor¹⁹⁴ performance are significantly worse for *APOE4* carriers. Mild, but repetitive, head injury also appears to interact with apoE. *APOE4+* professional boxers had significantly worse cognitive and motor scores on a neurological test of chronic brain injury than *APOE4-* boxers¹⁹⁵. Similarly, older *APOE4+* professional football players scored lower on cognitive tests than *APOE4-* players¹⁹⁶.

Additionally, the risk of developing AD following brain injury is dramatically heightened by an *APOE4*+ genotype, in that *APOE4*+ individuals are even more likely to develop dementia if they sustain TBI sometime in their life. The risk for developing AD associated with an *APOE4*+ genotype quintuples from 2x to 10x following a TBI^{132,197}. TBI is associated with accelerated A β deposition in the brain's parenchyma and vasculature¹⁹⁸, with an even greater effect observed in *APOE4*+ individuals¹⁹⁹⁻²⁰¹. Additionally, a significant proportion of the people with A β deposition in their brain shortly after dying from TBI have at least one copy of the *APOE4* allele^{138,198,200,201}. A β deposition can also be found in the brains of even young *APOE4*+ epilepsy patients, who experience excitotoxic neurodegeneration and locally increased synaptic activity as a result of their seizures¹⁵⁶.

Subjecting transgenic mice that express human APP and/or apoE isoforms to various models of brain injury has elucidated the interactions between these major risk factors for AD. In an experiment evaluating the effects of TBI on *APOE3* versus *APOE4* transgenic mice, greater mortality and worse behavioral outcomes, but no differences in the amount of tissue loss, were observed in the *APOE4* mice²⁰². Additionally, within 24 hours of a closed head injury insult, *APOE4* transgenic mice expressed less sAPP α than *APOE3* mice¹⁷³.

Studies of APP x apoE transgenic mice have provided additional evidence that brain injury can interact with A β and an *APOE4* genotype to accelerate AD neuropathology. PDAPP transgenic mice that also express human *APOE3* or *APOE4* were subjected to a cortical impact TBI and later assessed for TBI-induced neurodegeneration and AD-like neuropathology²⁰³. Although no differences were found in the amount of cortical or hippocampal cell loss, A β deposition following TBI was accelerated in PDAPP, *APOE4* mice as compared to PDAPP, *APOE3* mice or PDAPP, *APOE*^{-/-} mice. The finding that TBI accelerated the A β deposition process in the presence of human apoE4 as compared to apoE3 suggests that TBI and apoE4 interact to result in not only higher local concentrations of A β , but also earlier aggregation.

Overall, these data suggest that the association between *APOE4* and higher risk for functional outcome and/or AD following TBI may in part be due to isoform-specific APOE/A β interactions contributing to the premature development of AD neuropathology.

Mechanisms of the apoE X brain injury interaction

It is not yet clear how brain injury can result in an apoE isoform-dependent increase in amyloid deposition. Some *in vivo* studies have found that apoE can influence aspects of brain function and plasticity following different types of brain injury^{202,204-209}, and it is probable that apoE influences outcome after brain injury via more than one mechanism.

A number of both *in vitro* and *in vivo* studies have demonstrated that apoE interacts with A β and influences the probability of A β aggregation into a β -sheet/amyloid/neurotoxic conformation^{210,211}. The levels of apoE in the brain may play a significant role in this effect, as the presence of mouse apoE increases A β deposition in a gene dose dependent fashion *in vivo*²¹². Additionally, brain levels of apoE are elevated, coincident with glial activation, after a variety of brain injury paradigms²¹³. Thus, the effects of TBI on apoE/A β interactions may be the result of local injury-induced increases in the brain concentrations of apoE, APP, and A β .

General mechanisms of elevated A β /APP

Mounting evidence suggests that brain inflammation and oxidative stress resulting from TBI, stroke, or even chronic low-level insult (e.g., hypoxia due to breathing problems²¹⁴) induces the accumulation of APP in the brain. Possible mechanisms of elevated APP, A β , and apoE levels following brain injury include upregulation, release of intracellular peptides, passage from the periphery through an injury-compromised blood-brain barrier^{100,215-225}, and/or injury-induced problems with clearance. Oxidative stress, a common component of all types of brain injury, is sufficient to induce A β accumulation¹¹⁵, and some evidence suggests that insult-induced microglial activation ultimately causes upregulation and accumulation of APP^{87,226-229}.

Whatever the mechanism, if the non-amyloidogenic α -secretase pathway metabolizes the excess APP, then the subsequent production of sAPP α fragments could have a significant neuroprotective effect by a number of mechanisms. If the local environment promotes the amyloidogenic β -secretase cleavage pathway (e.g., high cholesterol/low phospholipid membrane content), the potential beneficial impact of elevated APP levels could be reduced or reversed, and may increase the risk of developing AD neuropathology via accumulation of A β . Thus, APP accumulation in response to acute brain damage, as well as chronic inflammation, may play an important role in the development of AD. This would suggest several possible preventative/therapeutic treatment strategies, including prophylaxis, attenuating the accumulation of A β in the brain, and neutralizing the neurotoxic effects of aggregated A β via degradation and/or clearance from the brain.

Therapeutic implications

1. Reduce risk of insult-induced brain damage by maintaining a high antioxidant, low cholesterol diet

Diet has the potential to effect sporadic A β accumulation over the lifespan and to reduce risk of long-term damage as a result of an insult to the brain by a

number of mechanisms. For example, caloric restriction appears to be neuroprotective in APP transgenic mice^{230,231}, perhaps by decreasing the accumulation of A β deposits²³². Mounting epidemiological evidence suggests that diet can decrease the risk for developing AD²³³⁻²³⁵.

A diet high in antioxidants has the potential to attenuate both basal and injury-induced oxidative stress. Given that A β accumulation seems to cause oxidative stress and inflammation, leading to the accumulation of even more A β , keeping free radical-induced oxidative stress in check may reduce the slow, but steady, accumulation of A β in the brain with aging^{236,237}. Epidemiological evidence suggests that high intake of food-based vitamin E is associated with a lower incidence of AD in humans²³⁸, and chronic dietary administration of the antioxidant vitamin E to young (but not old) APP_{sw} mice reduced A β deposition²³⁹. Dietary administration of the antioxidant vitamin E to APP_{sw} mice before and after repetitive TBI ameliorated oxidative stress, injury-accelerated A β formation, and behavioral impairments, suggesting that oxidative stress induced by brain injury plays a mechanistic role in the risk for AD and that this risk can be reduced with a high antioxidant diet¹⁶⁴.

Phytochemicals like polyphenols (including the phenolic acids and flavonoids) are bioactive chemicals found in plants (especially pigments). Polyphenols have antioxidant and anti-inflammatory properties, as well as effects on nitric oxide synthase production and other signaling pathways^{240,241}. Isolated dietary polyphenols have reduced A β deposition and/or improved cognitive performance in APP transgenic mice. For example, curcumin, a polyphenol found in the curry spice turmeric, was shown to lower levels of oxidized proteins and plaque burden in APP_{sw} mice²⁴². Epigallocatechin-3-gallate (EGCG), a polyphenolic component of green tea, reduced production of A β and elevated levels of α -secretase processing *in vitro*, and decreased levels of A β and plaque deposition in the brains of APP_{sw} mice²⁴³. Tannic acids, also found in tea, have been shown to inhibit amyloid fibril formation *in vitro*²⁴⁴. Resveratrol, a polyphenol found in grapes and red wine, was shown to decrease levels of A β *in vitro* by increasing clearance, rather than inhibiting production, of A β ²⁴⁵. A β -heme complexes found within plaques can cause oxidative stress and damage to muscarinic ACh receptors, which promote α -secretase activity. This damage can be ameliorated by polyphenols and other antioxidants^{10,11,16}.

Importantly, whole foods often contain a variety of phytochemicals that may work together synergistically^{246,247}. Epidemiological evidence shows that consumption of green tea, a food high in polyphenols, may be neuroprotective²⁴⁸, and dietary consumption of fruits and vegetables may decrease risk of AD²⁴⁹⁻²⁵².

Animal studies have shown that dietary supplementation with foods high in polyphenols can also affect both neuropathology and behavior.

Supplementation of chow with polyphenol-rich blueberries did not decrease A β plaque levels, but improved cognitive performance, in double transgenic APP, PS1 mice²⁵³. Dietary supplementation of pregnant mice with pomegranate juice, another food with very high concentrations of polyphenols^{254,255}, protected against neurodegeneration in the neonatal offspring when subjected to hypoxic-ischemic brain injury²⁵⁶. Pomegranate juice also reduced levels of soluble A β_{42} , A β deposition, and fibrillar A β /amyloid deposition in the hippocampi of APP_{sw} mice⁸². In addition to the antioxidant effects of polyphenolic phytochemicals, ellagic acid extracted from pomegranate husks can inhibit β -secretase activity *in vitro*²⁵⁷. Thus, it appears that antioxidants and various naturally occurring dietary phytochemicals can decrease the levels of soluble and deposited A β in the brain, possibly by inhibiting production, disrupting aggregation, or enhancing clearance of A β .

Additionally, phospholipids like the omega-3 fatty acids increase membrane fluidity, promoting α -secretase processing of APP and the formation of neuroprotective sAPP α , whereas cholesterol can decrease membrane fluidity and promote β -secretase processing of APP and the formation of neurotoxic A β . Diets high in omega-3 fatty acids and low in cholesterol should therefore promote α -secretase (and inhibit β -secretase) processing of both basal and insult-induced APP¹²⁵, leading to the generation of less harmful A β and more neuroprotective sAPP α ^{127,129}. For individuals with very high cholesterol levels, reduction via drugs like the statins may also provide some benefit²⁵⁸.

The omega-3 essential fatty acids such as those contained in fish oil (e.g., docosahexaenoic acid / DHA) may be neuroprotective in humans²⁵⁹⁻²⁶³. DHA comprises around 15% of the brain's total fatty acids, and 30-40% of the gray matter. Rodent studies have also shown beneficial effects of dietary DHA on learning in a rat model of AD^{264,265} and on both plaque deposition and dendritic pathology in aged APP_{sw} mice^{127,129}.

Thus, a diet high in antioxidants and omega-3 fatty acids and low in cholesterol should maintain a brain environment that is relatively resistant to the effects of brain damage following insult. The prophylactic mechanisms may work through a number of pathways, including attenuation of oxidative stress and inflammation, promotion of α -secretase APP processing/production of neuroprotective sAPP α , and inhibition of β -secretase APP processing/production of neurotoxic A β . Other prophylactic strategies may involve regular exercise and the use of non-steroidal anti-inflammatory drugs^{84,266-269}.

2. Prevent A β accumulation following injury

In the event of an acute brain injury, efforts should next be made to deal with the newly increased risk of A β accumulation. Because of the evidence

that α -secretase processing of APP both produces neuroprotective sAPP α and prevents the formation of potentially toxic A β , promotion of α -secretase processing may prove to be an effective strategy for dealing with the locally elevated concentrations of APP that tend to follow brain injury. *In vivo* application of exogenous sAPP α had positive effects on functional motor outcome, cellular apoptosis, and axonal injury following TBI in rats¹⁷⁴. Therapeutic strategies that promote α -secretase processing could have effects on A β accumulation via multiple pathways^{45,47}. A number of compounds are associated with an upregulation of α -secretase processing⁴⁶, including protein kinase C activators (like phorbol esters)²⁷⁰, various growth factors, cholesterol-lowering drugs, steroid hormones, non-steroidal anti-inflammatory drugs^{268,271}, metal ions, and phytochemicals²⁷². ACh muscarinic agonists also promote α -secretase via interactions with M1 and M3 receptors²⁷³⁻²⁷⁶. These receptors are damaged by oxidative stress from A β -heme complexes, and this damage is ameliorated by dietary polyphenols¹⁶, providing yet another mechanism by which diet could effect the risk for AD before and following brain damage.

In addition to stimulation of the non-amyloidogenic α -secretase APP cleavage pathway, β - and/or γ -secretase inhibition represents another potential strategy for dealing with the accumulation of APP following brain injury²⁷⁷⁻²⁷⁹. For example, γ -secretase inhibitors can decrease plaque load in APP_{sw} transgenic mice²⁸⁰ and provide neuroprotection to cultured cortical neurons from hypoxia-induced increases in mitochondrial dysfunction, neuronal membrane damage, apoptosis, and APP cleavage¹⁸⁷. It is worthy to note, however, that γ -CTF/AICD, one of the byproducts of γ -secretase processing, acts as a nuclear transcription factor and affects calcium signaling^{281,282}. It is unclear how inhibiting the production of this APP fragment could change other cellular parameters such as excitotoxic threshold.

3. Clear and/or neutralize A β after injury

Once β -secretase and γ -secretase cleave APP and produce A β in high enough concentrations, it appears inevitable that the longer isoforms (e.g., A β ₄₂) will begin to clump together, forming oligomeric aggregates and eventually dense-core amyloid plaques. This implies that after a brain injury, A β clearance, degradation, and/or aggregation inhibition should be the next therapeutic target. Some evidence suggests that soluble and insoluble A β is constantly degraded by a number of proteases in the extracellular matrix and interstitial fluid. Zinc metalloproteinases, including endothelin-converting enzymes, insulin-degrading enzyme, and neprilysin have all been shown to degrade soluble A β , and metalloproteinase-9 can degrade aggregated fibrillar A β ^{283,284}. This suggests a degree of dynamic equilibrium in the brain for A β

and amyloid plaques, and provides another target for therapeutic interactions. These strategies should be carefully evaluated, as it is possible that dissolving deposited fibrillar A β could reintroduce potentially toxic oligomeric A β aggregates to the surrounding brain tissue.

Several studies have assessed the effects of immunotherapeutic treatments targeting A β ^{266,285}. For instance, active immunization with A β and A β fragments can reduce A β deposition, astrogliosis, and learning deficits in APP transgenic mice²⁸⁶⁻²⁹⁰. In a study of active immunization of human AD patients with A β , the treatment appeared to have had a small effect on A β levels, but also seemed to have induced fatal encephalitis, micro-hemorrhages, and other adverse effects²⁹¹. Passive immunization with monoclonal anti-AB antibodies eliminates the potentially harmful auto-immunological responses of active immunization and may thus represent a safer therapeutic strategy^{292,293}. Several studies have now confirmed that passive immunization of APP transgenic mice with A β -targeting monoclonal antibodies can reduce brain concentrations of several forms of A β , leading to improved neuronal function and cognition.

Old PDAPP mice given the monoclonal A β antibody m266 (which binds to the central domain of soluble, non-fibrillar A β) exhibited improved cognition, but no observable effect on A β deposition, within 24-72 hours⁸¹. Additionally, middle- and old-aged PPAPP mice treated for weeks to months with the monoclonal N-terminal A β antibody 10D5 accumulated 50% less diffuse and fibrillar A β in the hippocampus and exhibited improved spatial learning. Furthermore, hippocampal LTP, a measure of synaptic efficacy, was normal in 10D5-treated PDAPP mice, but deficient in untreated PDAPP mice⁷⁹.

Nine to 11-month old APP_{sw} mice treated with the monoclonal N-terminal A β antibody BAM10 for several days demonstrated improved cognition but no reduction in A β deposition levels⁸³, and another study²⁹⁴ reported improved exploratory performance and reduced A β deposition in old (~22-month) APP_{sw} mice after 3 months of treatment with the monoclonal A β antibody 2286. Both active and passive immunization was also shown to prevent synaptic loss in APP_{sw} mice²⁹⁵. NAB61, an antibody specific to oligomeric A β , improved cognition but did not reduce plaque load²⁹⁶.

These effects of passive monoclonal A β antibody treatment provide direct evidence for the detrimental cognitive effects of A β aggregation and deposition. The behavioral effects in transgenic mice seem to be evident by the first several days of testing, but the effects on A β deposition and/or clearance seem to take at least several weeks. This suggests that the clearance of A β soluble aggregates may have a direct and immediate effect on neuronal function.

Although the various anti-A β antibodies all bind to A β , they bind at different epitopes and to different conformations, and the mechanism of A β clearance after peripheral monoclonal antibody treatment remains to be

determined. Possibilities include the antibody binding to: 1) A β plaques in the brain, leading to microglial activation and phagocytosis^{297,294, 298}, 2) A β plaques in the brain, leading to plaque disruption^{297,299}, 3) soluble, non-deposited A β in the brain, disrupting aggregation⁸¹, and/or 4) soluble A β in the peripheral, causing a peripheral "soluble A β sink"^{79,293,300,301}. Given that evidence exists for all of these potential mechanisms, it is possible that a cocktail of various monoclonal antibodies may provide the most effective results. Regardless of the mechanism, studies on the effects of passive immunization with monoclonal A β antibodies support the idea that targeting A β with antibodies may be a viable treatment for AD, and that this treatment strategy has a chance to be effective and safe. Caution should be exercised, however, as certain N-terminal A β antibodies that can bind to fibrillar A β have the potential to weaken amyloid-ridden blood vessels, leading to micro-hemorrhage^{301,302}. Another interesting approach involves gene therapy, in which adeno-associated virus vectors cause the expression of A β antibody fragments that can bind to A β , but lack the ability to activate a microglial immune response^{303,304}.

Summary

The accumulation of A β in the brain is a causative factor for Alzheimer's disease, and evidence suggests that a variety of brain injuries may increase the risk for AD. People with traumatic brain injury, seizures or stroke are more likely to develop A β plaques in their brains. Even children may quickly develop AD-like plaques following TBI. The most common cause of brain injury in the elderly is stroke, and most AD brains exhibit stroke pathology at autopsy. Animal experiments suggest that brain damage elevates levels of APP, and consequently A β , and accelerates plaque deposition. It is possible that brain damage initiates or accelerates AD pathology by upregulated APP and ApoE (a protein involved in transforming soluble A β into plaques), decreased clearance of A β , blood-brain barrier dysfunction (allowing peripheral A β to enter the brain), and/or increased neuronal release of A β during damage-induced synaptic activity. Thus, brain damage may trigger a cascade of physiological events leading to AD. Because AD pathology includes buildup of vascular A β , and because this increases the risk of future stroke, the process may self-propagate. If early efforts are made to prevent excessive A β deposition after acute brain damage such as TBI or stroke, it may be possible to delay the onset of AD. Because AD is a progressive disease of the elderly, delaying the onset by only a few years would significantly decrease its prevalence.

Currently, a multifaceted therapeutic approach appears to have the best chance of reducing the risk of AD following brain injury. A consistently high antioxidant, low cholesterol diet may help to attenuate brain damage following

insult. After a damaging event, efforts should be made to reduce the overproduction of A β , perhaps by promoting α -secretase cleavage, thereby inducing sAPP α and inhibiting A β production, or by inhibiting γ - or β -secretase processing. Residual A β may be dealt with using anti-A β monoclonal antibodies or promoting A β proteolytic degradation and/or clearance. Because stroke is a relatively common disorder of aging, preventing the increased accumulation of AD neuropathology is of utmost importance in light of the fact that low-level background A β deposition seems to occur over the lifetime of the individual. Additionally, because TBI often occurs in young patients, and AD is a disease of aging, there may be ample time to prevent or reverse the AD-like neuropathology associated with brain injury early in life.

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